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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/988,938	11/21/2001	Stephen J. Kovacic	115-01 US Div(2)

25319
FREEDMAN & ASSOCIATES
117 CENTREPOINTE DRIVE
SUITE 350
NEPEAN, ONTARIO, K2G 5X3
CANADA

CONFIRMATION NO. 9218
FORMALITIES LETTER



OC00000007318132

Date Mailed: 01/16/2002

NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

- A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.
- All of the items noted below and a newly executed oath or declaration covering the items must be submitted within TWO MONTHS of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).
- The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.
 - The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



03003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application Of: Stephen J. Kovacic

Our File: 115-01 US Div(2)

Serial No: 09/988,938 /

For: Method of Producing a Si-Ge Base Heterojunction Bipolar Device

January 30, 2002

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231 U.S.A.

Dear Sir:

**RESPONSE TO NOTICE OF INCOMPLETE
NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b)**

In response to the Notice of Incomplete NonProvisional Application Filed Under 37 CFR 1.53(b) mailed January 16, 2002, please find enclosed the following documents:

- Copy of Notice of Incomplete NonProvisional Application - Part 2;
- Newly executed Declaration and Power of Attorney; and
- Formal drawings, Figures 1-24 (14 pages).

Please charge any additional fees or credit overpayment to Deposit Account No: 50-1142.

Respectfully submitted,

Gordon Freedman, Reg. No. 41,553

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